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# Inner and outer domains for Hawaiian causatives and nominalizers

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Hawaiian valency-related morphology includes a complex set of surface forms which have traditionally been understood as causatives. Despite phonological similarity among this set of forms, these have traditionally been analyzed as separate morphemes. A closer view of their distribution and argument structure suggests an analysis in which these forms are allomorphs of one underlying, valency-increasing morpheme. A similar analysis of two nominalizing forms suggests that these are also allomorphs of one valency-decreasing morpheme. In each case (causatives and nominalizers), an analysis of surface realization is presented in terms of inner (or root-selecting) and outer domains. With the analysis of causatives and nominalizers in place, corpus evidence suggests that the inner causative allomorph and inner nominalizing allomorph do not co-occur, even though co-occurrence of the inner nominalizer and the outer causative is common. This data suggests a morpho-syntactic view of word formation, according to which morphological properties result from general properties of the morpho-syntax, such as the availability of only one root-selecting position for a given root.

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# **1** Introduction

This paper is concerned with valency-related affixes in Hawaiian, with a focus on causative and nominalizing morphology; the passive affix is briefly discussed as well. Of particular interest are two prefixes which Elbert & Pukui (1979) identify as 'causative/simulative' prefixes in their standard reference grammar; these are *ho'o-* and *ha'a-* (each with a set of phonologically conditioned allomorphs). As observed by Elbert & Pukui (1979), both *ho'o-* and *ha'a-* can attach to roots (1a, 1b). *Ho'o-* can also affix to *ha'a-*, but affixation of *ha'a-* to *ho'o-* is ungrammatical (1c, 1d).

- (1) a. ho'o-ROOT: ho'o-hele (CAUS-go) 'to set in motion'
  - b. ha'a-ROOT: ha'a-nui (CAUS-big) 'to brag, exaggerate'
  - c. hoʻo-haʻa-ROOT: hoʻo-haʻa-nui (CAUS-CAUS-big) 'to cause to brag'
  - d. \*ha'a-ho'o-ROOT

While the facts in (1) are open to multiple analyses, corpus data (detailed below) shows an unexpected gap when comparing the causative prefixes with the nominalizing suffix. Nominalization in Hawaiian is generally marked by either the suffix *-na* or the free particle *'ana* (2a, 2b). While nominalizations with the particle *'ana* allow prefixation with both *ho'o-* and *ha'a-*, words with the *-na* suffix do not co-occur with *ha'a-*, even though *-na* nominalizations with *ho'o*are common (2c, 2d). Nor is it the case that *ha'a-* is ruled out from forms with valency-related suffixes; as discussed below, words with the passive suffix allow both *ho'o-* and *ha'a-* as a prefix.

- (2) a. ROOT-na: waiho-na (leave-NOM) 'depository'
  - b. ROOT 'ana: hele 'ana (go NOM) 'going' (gerund)
  - c. hoʻo-ROOT-na: hoʻo-kahu-na (CAUS-pray-NOM) 'to ordain a priest'
  - d. \*ha'a-ROOT-na  $\rightarrow$  unexpected gap in corpus

In order to develop an explanatory analysis, I argue that there is only one underlying causative morpheme and only one underlying nominalizing morpheme present in the data above, with the various surface realizations of these morphemes arising due to whether the relevant affix is attached to the root or not. Under this view, allomorph selection is understood as a type of morpho-syntactically conditioned allomorphy, referencing the concept of 'inner' and 'outer' allomorphs in the sense of Arad (2003), Embick (2003), and Embick & Marantz (2008); see also Van Hout & Roeper (1998); Borer (1999); Alexiadou (2001); Bobaljik (2000); Borer (2003); Harley (2008; 2009); Alexiadou (2009) and Embick (2010) for antecedents, extensions, and similar analyses from cross-linguistic perspectives. Under this analysis, both causative *ha'a-* and nominalizer *-na* are root-selecting (or, 'inner') allomorphs, which explains their absence of co-occurence without recourse to a stipulated rule. This analysis therefore requires a null morpheme

in the representation of (1a), such that causative *ho*'o- is in a non-root-selecting (or, 'outer') position; I present a detailed look at the argument-structural properties of *ho*'o- and *ha*'a- in order to justify this representation.

The proposed analysis adopts a Distributed Morphology framework (Halle & Marantz 1993; Marantz 1997) in order to characterize the data set, according to which there exists only one root-attaching position for any given root. Empirically, this paper includes novel observations of Hawaiian valency morphology obtained through corpus searches, as well as a comprehensive description of causative morphemes in Hawaiian, updating Elbert & Pukui (1979)'s work in this domain. I argue that an analysis of these valency-related morphemes in Hawaiian, including the relevant corpus results, is best understood via a model in which a single root-attaching position is available, such that root-attachment may yield various types of 'listed' phenomenon, such as idiosyncratic selection, interpretation, and allomorphy.

### 1.1 Language overview and data sources

The language discussed in this paper is Hawaiian, the native language of Hawai'i, which is currently endangered (NeSmith 2016). Hawaiian is a verb-initial language with nominative/ accusative case marking. The standard word order is VSO, with embedded clauses occurring in the same position as objects.

There are two primary dialects of Hawaiian in current use: the 'University' dialect associated with the language revitalization movement, and the Ni'ihau dialect, which is spoken on Ni'ihau and Kaua'i. However, most linguistic documentation of Hawaiian represents an older variety of the language, and is based on the work of Elbert & Pukui, the latter of whom was a native speaker. The data in this paper comes primarily from their work, including their grammar (Elbert & Pukui 1979) and dictionary (Elbert & Pukui 1986). Additional data comes from Hawkins (1979), which primarily focuses on sentence structure for the same dialect. Therefore, the data in this paper represent the grammar as understood by speakers somewhat removed from the present day, and should not be taken to represent either modern variety (see also Alderete & MacMillan (2015) for discussion).

Elbert & Pukui (1986), the standard dictionary of Hawaiian, is a particularly rich source of data, with nearly 30,000 headwords and extensive examples which include morphological information. In order to perform corpus searching on this dictionary, a publicly available electronic copy of the 1986 dictionary was downloaded, text-normalized, and converted to a single text file.<sup>1</sup> While many of the specific examples in this paper come from Elbert & Pukui's

<sup>&</sup>lt;sup>1</sup> The files can be obtained at the following web address: http://www.ulukau.org/elib/cgi-bin/library?c = ped. These files were then converted to a text file via the Python package Pyth (Hogger 2015) in order to perform regular expression search over the entire dictionary.

documentation, several novel generalizations about co-occurrence (or non co-occurrence) have been enabled via corpus search of their (1986) dictionary.

# 2 Nominalization

While the bulk of this paper covers causative morphology, I begin by focusing on two common nominalizers in Hawaiian, the suffix *-na* and the free particle *'ana*.<sup>2</sup> I will argue that *-na* is a root attached nominalizer, with properties that pattern with R-nominals in terms of the typology of nominalization due to Grimshaw (1990). In contrast, the particle *'ana* has the properties of an Event (or AS-) nominal, which, I argue, follows from its status as an outer-attached nominal. After establishing the properties of these nominalizers, I will turn to the arguably more complex data on causatives, ultimately arguing that causatives interact with nominalizing morphology in terms of root versus non-root attached allomorphs.

The nominalizers *-na* and *'ana* differ both semantically and phonologically. For example, *-na*, but not *'ana*, can yield idiosyncratic interpretations, as illustrated in (3). Further, *-na*, but not *'ana*, can induce root allomorphy via vowel shortening (4) and lengthening (5). As opposed to some phonological process discussed below in connection with causatives, the root allomorphy in (4) and (5) does not appear to be related to an independent process of stress assignment; rather, this root allomorphy appears to be idiosyncratic for the specific root in question. For example, compare (3d) and (5a), which have the same syllabic structure, with only (5a) having vowel alteration in the root. These forms also differ in terms of productivity; *-na* only occurs on a limited number of roots, while *'ana* is not limited in this way (Elbert & Pukui 1979: 81).

- (3) a. hiki 'to arrive'  $\rightarrow$  hiki-na 'east'
  - b. holoholo 'to run about'  $\rightarrow$  holoholo-na 'animal'
  - c. waiho 'to leave'  $\rightarrow$  waiho-na 'depository'
  - d. kuhi 'to show'  $\rightarrow$  kuhi-na 'councilor'
  - e. 'ohā 'taro shoot'  $\rightarrow$  'oha-na 'family'
- (4) *-na* suffixation with vowel shortening
  - a. hāpai 'to carry' → hapai-na 'carrying'
  - b. kālai 'to carve'  $\rightarrow$  kalai-na 'carving'
- (5) *-na* suffixation with vowel lengthening
  - a. 'ali 'to scar'  $\rightarrow$  'āli-na 'scar'
  - b. koi 'to urge'  $\rightarrow$  kōi-na 'urging'

<sup>&</sup>lt;sup>2</sup> Other nominalizing processes include zero derivation, reduplication, which is rare as a nominalizer, and nonproductive suffixes *-hana* and *-lana*. These non-productive suffixes, along with the two nominalizers discussed in detail here, *-na* and *'ana*, likely derive from the same Proto-Polynesian form (Chung 1973).

Turning now to *'ana*, the following pairs illustrate (a) non-nominalized and (b) nominalized examples from Hawkins (1979: 94). Note that the gloss *'NOM'* indicates nominalization in these examples. Nominalizations with *'ana* have the same argument structure as they would when not nominalized. In terms of case marking, objects remain case-marked with *i*, and the subject of the nominalized clause appears in the possessive.<sup>3</sup>

- (6) a. hele 'o ia i ke kula go SUBJ he to the school 'he goes to the school'
  - kona hele 'ana i ke kula his go NOM to the school 'his going to the school'
- (7) a. lohe nā 'ōhua i keia lono hear the.pl servant OBJ this news 'the servants hear the news'
  - ka lohe 'ana o nā 'ōhua i keia lono the hear NOM of the.pl servant OBJ this news 'the servants' hearing of this news'

Additional examples from older, textual sources appear in Elbert & Pukui (1979), repeated here as (8) and (9), further illustrate nominalizing *'ana*; literal translations are provided in addition to the original translations.

- (8) koʻu hoʻo-moe maikaʻi ʻana iāia my CAUS-sleep well NOM him 'my placing him carefully down to sleep' [lit. 'my putting him carefully to sleep', poetic for 'my burying him']
- (9) ma kēia pa'i 'ana a 'Aikanaka i nā mākua on this evict NOM of 'Aikanaka OBJ the.pl parents 'the eviction by 'Aikanaka of his parents' [lit. "Aikanaka's evicting his parents']

<sup>&</sup>lt;sup>3</sup> The possessive is marked with either the *a* possessive or *o* possessive, which is thought to mark 'dominant' versus 'subordinate' possession; these forms are contrasted in (8), ko'u not ka'u, and (9), *a* not *o*, such that *a*-possession reflects possessor agency while *o*-possession does not. See Wilson (1976) and Medeiros (2020) for more details on this possessive alternation in Hawaiian (which is a feature of Eastern Polynesian languages more generally), as well as (Elbert & Pukui 1979: 141) for discussion of example 9. The key point here is that the possessive alternation behaves as expected for nominalized forms as it does in other contexts.

Unlike the suffix *-na*, the *'ana* nominalizer is a free particle. For example, an adverb can appear between the verb and *'ana*, as the adverb *ka'awale* does in (10) and *maika'i* does in (8). *'ana* typically occurs between adverbs and directional markers such as *mai*, as illustrated in (11).

- (10) ma kēia noho ka'awale 'ana at this stay apart NOM 'at this staying apart'
- (11) ka hele 'āwīwī 'ana mai the come quick NOM here 'the quick coming'

*'ana* can also occur with the passive particle *'ia*, in which case *'ana* follows the passive particle (12). The two nominalizing forms can also co-occur, as in (13), in which a *-na* suffixed form is itself nominalized with the free particle form.

(12)	a.	(ka māhalo	nui loa)	i	koʻu	hāpai	'ia	ʻana	i	kia'āina
		the thanks	big very	PREP	my	exalt	PASS	NOM	as	governor
		'(many thanks) for my being exalted as governor'								(Chung 1973)
	b.	ka pepehi '	ia 'ana	o ke	keiki					
		the hit I	PASS NOM	of the	child					
		'the beating o	of the child	ľ						(Hawkins 1979)

(13) ke kaupale-na 'ana i ka mana'o the partition-NOM NOM of the condition
'the imposition of a condition' (Elbert & Pukui 1986)

A comparison between nominalization with *-na* and *'ana* can be made with reference to Grimshaw (1990)'s classification of R-nominals versus Event/AS-nominals. (See also Borer (2003) and Alexiadou (2010) for extensions and commentary on these categories.) According to Grimshaw, some key properties of R(eferential)-nominals include that they lack event roles, have only optional complements, lack (or only have optionally) event readings, and resist agent-oriented modifiers. Properties of AS(Argument-Structure)-nominals that are relevant for the current analysis include event interpretation, event-related roles, and obligatory argument structure.

Given the evidence here, nominalizations with -na have the properties of R-nominals, in that they do not have obligatory arguments, nor do they have an event reading. When comparing -na with 'ana, Elbert & Pukui (1979: 80) state that "the semantic difference is that -na words usually designate a single act or object ..., whereas the combination of verb + 'ana usually represents

an ongoing process, frequently translated into English by the present participle." Nominalization with *'ana* has the properties of AS-nominals, insofar as these have obligatory arguments, can take aspectual modifiers, and have an event reading.

Despite the differences between *-na* and *'ana*, I argue that these are two surface realizations of the same underlying morpheme, the category defining *n*. Within such an analysis, the semantic and phonological differences between *-na* and *'ana* are explained by their attachment position relative to the root. In the following section, I review literature that characterizes syntactically conditioned allomorphy in terms of attachment position within a morpho-syntactic framework for morphology.

# 2.1 Root vs. non-root selection: Theoretical framework

Following Arad (2003), the difference between *-na* and *'ana* nominalization could be characterized in terms of 'inner/low' and 'outer/high' attachment in a binary-branching, syntactically oriented framework, a line of inquiry that has been very productive cross-linguistically (see e.g. Travis (2000b); Harley (2008); Embick & Marantz (2008), and Bye & Svenonius (2012), *inter alia*, as well as Kratzer (1996) and Marantz (1997) for antecedent research). Under such an approach, *-na* and *'ana* may be characterized as allomorphs of one underlying morpheme. In other words, the realization of a morpheme may be conditioned by syntactic position, namely root-attached versus non-root attached.

Arad (2003)'s work on Hebrew and English illustrate this model. Arad, following Marantz (2000), argues that a fundamental difference obtains between morpheme attachment to roots versus morpheme attachment to 'words,' which she takes to consist of at least a root and an additional (possibly null) morpheme. Typically, the root-attaching morpheme (but never the root itself) defines the category/part of speech label of the stem, while the root itself is unspecified for category. According to this model, a single morpheme, e.g. a nominalizer, can attach to either a root or a word, but with quite different results. Therefore, properties that superficially appear to be the result of different processes or rules are actually the result of attachment position: root versus non-root.

A key idea for this model is that roots are underspecified both semantically and phonologically. A root becomes a stem/word upon its first, root-attaching affixation, after which semantic and certain phonological properties become fully specified. Additional affixations must refer to the properties that obtained after the initial attachment; in this way, root attachment is privileged over non-root attachment. Arad illustrates the semantic consequences of this model with Hebrew, in which a template root combines with a pattern (also known as a binyan), yielding words with different parts of speech and different, though related, meanings. In (14), the phonological relationship between the various nouns and verbs is clear (they all contain the root elements *s-g-r*), but the semantic relationship is less clear. While all of the words share a core meaning, each form's specific meaning only obtains in the context of the (non-concatenative) affixation.

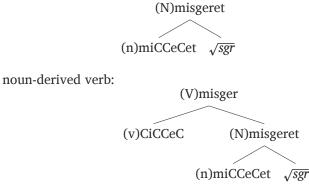
- (14) Root:  $\sqrt{sgr}$ 
  - a. CaCaC (v)  $\rightarrow$  sagar, v. 'close'
  - b. hiCCiC (v),  $\rightarrow$  hisgir, v. 'extradite'
  - c. hitCaCCeC (v),  $\rightarrow$  histager, v. 'cocoon oneself'
  - d. CeCeC (n),  $\rightarrow$  seger, n. 'closure'
  - e. CoCCayim (n),  $\rightarrow$  sograyium, n. 'parentheses'
  - f. miCCeCet (n),  $\rightarrow$  misgeret, n. 'frame'
- (15) CiCCeC (v),  $\rightarrow$  misger, v. 'to frame'

However, further affixation on any word form/stem does not yield the type of semantic instability illustrated in (14). Instead, affixation on a word (root plus affix, also known as a stem) must refer to the meaning of that word, and not to the underspecified meaning of the root. For example, Arad (2003) argues that the verb (15) is derived from the noun (14f). Here, the meaning of the derived verb transparently refers to the meaning of the noun stem. In other words, 'inner' or root-selecting affixation is unpredictable, while 'outer' or non-root selecting affixation is predictable.

A key property of this morpho-syntactic model of morphology is that roots are underspecified semantically and unspecified categorically; therefore, a root is never allowed to stand alone without at least one (possibly null) affix. At the same time, morphology is accomplished in the same representational space as syntax, as illustrated in the representations below (repeated from Arad (2003)).

(16) root-derived noun:

(17)



Within this framework, root versus non-root attachment also explains phonological effects on both roots and affixes. Arad (2003) illustrates phonological effects on the root with 'zero-derived'

(null affixed) forms as in English (18), in which forms with null *v* versus null *n* affixation result in different stress patterns on the root. However, when a verb has the 'nominal' stress pattern (e.g. *pérmit*, 'to issue a permit'), this form's meaning is tightly linked to the meaning of the nominal form; in this case, the stress pattern resulting from root-attached *n* (resulting in a noun) determines the stress pattern of the noun-derived verb.

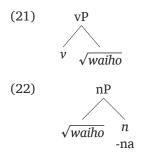
- (18) a. pérmit<sub>n</sub> [√permit n] → pérmit<sub>v</sub> [[√permit n] v]] 'to issue a permit'
   (compare to permít<sub>v</sub> [√permit v], 'to allow')
  - b. cómpound<sub>n</sub> [ $\sqrt{compound}$  n]  $\rightarrow$  cómpound<sub>v</sub> [[ $\sqrt{compound}$  n] v]] 'to compound one or more elements'
    - (compare to *compound* v], 'to worsen, to accrue interest, etc.')

Root-attached affixes can also have their spell-out affected by the root, yielding unpredictable forms. Embick (2010) argues that the inner versus outer attached distinction results in the irregular spell-out of nominalizing n as *-age, -tion, -al* in (19), whereas non-root-attached n always gets the same spell-out for the associated gerunds, namely *-ing*. A key point for the data set in (19) is that the same n affix is implicated in all of these forms, with their pronunciation determined by morpho-syntactic context.

- (19) a.  $[\sqrt{marry} n] \rightarrow marr-age$ 
  - b.  $[[\sqrt{marry} v] n] \rightarrow marry-ing$
  - c.  $[\sqrt{\text{destroy}} n] \rightarrow \text{destruc-tion}$
  - d.  $[[\sqrt{destroy} v] n] \rightarrow destroy-ing$
  - e.  $[\sqrt{refuse} n] \rightarrow refus-al$
  - f.  $[[\sqrt{refuse} v] n] \rightarrow refus-ing$

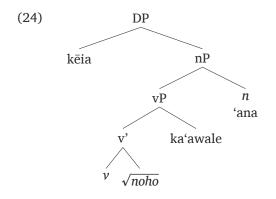
Returning to the analysis of *-na* and *'ana*, these appear to have the properties of R- and ASnominals, respectively. As discussed by Embick (2010), the difference between R-nominals and AS-nominals can be modeled within this framework in the following way. Taking both *-na* and *'ana* to be allomorphs of *n*, *-na* is the root selecting, or inner, allomorph, while *'ana* selects *vP*, which nominalizes an event with complete argument structure (see also Marantz (1997); Van Hout & Roeper (1998); Borer (1999); Alexiadou (2009) and, Harley (2009) for related proposals, as well as Chomsky (1970) for antecedent research). According to this approach, the verb and related nominalization with *-na* in (20) have the representations in (21) and (22), in which the relevant category-defining morpheme, *v* or *n*, attaches to the root directly.

- (20) a. waiho 'to leave'
  - b. waiho-na 'depository'



The representation of a phrase nominalized by 'ana, such as (23), repeated from (10), has the structure in (24). Because n selects vP in (24), an eventive reading is expected. The spell-out of n for the relevant allomorphs appears as (25).

(23) ma kēia noho ka'awale 'ana at this stay apart NOM 'at this staying apart'



(25) Spell-out of n

a. 
$$n \leftrightarrow \text{`ana / } \{vP\}$$

b.  $n \leftrightarrow -na / \_ {\sqrt{ROOT},...}$ 

Because roots are semantically underspecified in this framework, nominalization with *-na*, as the inner allomorph of *n*, can have an idiomatic interpretation, as illustrated in (3). According to (Elbert & Pukui 1979: 81), nominalization with *'ana* yields predictable interpretations, as expected given its status as an outer allomorph. In the same passage, Elbert & Pukui note that *'ana* has a wide distribution, while *-na* "is suffixed to only a few verbs and not to loanwords (it is not productive)." This difference in distribution is explained by (25), insofar as *'ana* combines with vP, while the distribution of *-na* is tied to specific roots.

The analysis of 'ana in (25) also predicts that nominalization with 'ana always has event structure. This prediction is borne out, even for examples in which 'ana appears to nominalize a noun directly. According to Elbert & Pukui (1979), when 'ana nominalizes an underived noun,

this "seems to give a verblike meaning to the head noun." (26) and (27) illustrate the pattern of data. The event interpretation of these examples can be accounted for if the root is first selected by null v, prior to nominalization with 'ana. In other words, while the analysis of (26) and (27) depends on a null v in their representation, this representation is justified given the event interpretation.

- (26) a. 'āina 'land'
  - b. ka 'āina 'ana
    the land NOM
    'the giving/forming/distribution of the land
- (27) a. mahi'ai 'farmer'
  - ka mahi'ai 'ana o ka 'āina the farmer NOM of the land 'the farming of the land'

To summarize, while *-na* and *'ana* are both nominalizers, their behavior is quite different. Nominalization with *-na*, but not *'ana*, can result in idiomatic interpretations and root allomorphy, whereas nominalization with *'ana*, but not *-na* results in an eventive reading which is nonetheless predictable (similar to English gerunds with suffix *-ing*). Despite these differences, I have argued that the nominalizers *-na* and *'ana* are surface realizations of the same underlying morpheme, *n*. Their surface pronunciations (*-na* versus *'ana*), as well as their different properties are a result of different attachment positions.<sup>4</sup> In the next sections, I extend the root- versus non-root attachment analysis to a complex set of valency increasing morphemes.

# 3 Causatives and valency increase

Elbert & Pukui (1979) describe several prefixes which they describe as both causative and simulative morphemes. According to the structuralist analysis in Elbert & Pukui (1979: 65), one of these morphemes, *ho'o-*, is always the leftmost prefix on a verb. Moving inwards towards the verbal root, Elbert & Pukui describe several additional causative/simulative morphemes, which mostly occur in complementary distribution on different roots; these include *ha'a-*,  $k\bar{a}$ -, *'ā-* (of which *ha'a-* is the most frequent), and several additional but rare alternants. In order to illustrate the basic properties of these morphemes and their interaction, I begin by focusing on the core cases of *ho'o-* and *ha'a-*.

The prefix *ho*'o- is widespread in Hawaiian and also highly productive, as evidenced by its combination with recent loanwords (Elbert & Pukui 1979: 77). *ho*'o- has phonologically

<sup>&</sup>lt;sup>4</sup> A reviewer points out a similar analysis of French *re*- due to Sportiche (2012), in which the interpretation of this element depends on its position within the verbal structure.

conditioned allomorphs *ho'o-*, *ho'-*, *ho-*, *hō-*, and *hō'-*, which depend on the properties of the first syllable of the stem. Affixation of *ho'o-* can also trigger vowel length alternation in the stem, which is related to stress patterns (Alderete & MacMillan 2015; Elbert & Pukui 1986). While Elbert & Pukui (1979) describe *ho'o-* as a causative morpheme, *ho'o-* can affix to several types of word class, including intransitive verbs (28), transitive verbs (29), adjectives (30), and nouns (31) (though note that 'word class' is not a property of roots in the framework adopted here).<sup>5</sup>

- (28) a. hele 'to go'b. ho'o-hele 'to set in motion'
- (29) a. 'ai 'to eat'b. hō-'ai 'to feed'
- (30) a. ola 'alive'b. ho'-ōla 'to save'
- (31) a. hale 'house'b. ho'o-hale 'to house'

Elbert & Pukui (1979) also describe a 'simulative' use of *ho'o-*, which typically combines with a stem or root to mean that the subject behaves like, or pretends to behave like, the stem, which is typically a noun or adjective, resulting in an intransitive verb. Examples (32–34) illustrate the range of simulative uses of *ho'o-*. I assume that the different types of interpretation for a simulative (e.g. 'behave, act, feign') arise via pragmatic inferencing.

- (32) a. haole 'white person'
  - b. ho'o-haole 'to act like a white person'
- (33) a. wahine 'woman'
  - b. ho'o-wahine 'to behave like a woman, to grow into womanhood, to be effeminate'
- (34) a. kuli 'deaf'
  - b. ho'o-kuli 'to act deaf or to feign deafness'

Descriptively, *ho*<sup>o</sup>- appears to increase the valency of the stem to which it attaches in a very general sense: nouns and stative adjectives become intransitive verbs, intransitive verbs become transitive verbs, and transitive verbs become ditransitive. For this reason, *ho*<sup>o</sup>- has a broader distribution as compared to morphemes that are often labelled causative in other languages (e.g. Japanese *sase*, see (Harley 2008) for review).

<sup>&</sup>lt;sup>5</sup> A preliminary analysis, which includes much of the data in this subsection, was originally presented in Medeiros (2017).

In other words, prefixation of *ho'o-* typically adds an argument, increasing the valency of the stem to which it attaches; potential exceptions to this generalization are discussed below. With respect to *ho'o-* being a 'causative' morpheme, this can be confusing as the term 'causative' itself has different meanings in the literature. Sometimes, 'causative' is used to mean 'not inchoative,' as in the contrast in (35), in which (35b) undergoes valency increase in absence of an explicit CAUSER argument. Alternatively, 'causative' is sometimes used to describe verbs which include both a CAUSER and a CAUSEE type argument, which is typically expressed in English via a specific lexical item (e.g. 'see' vs. 'show') or via a periphrastic construction, as in the given paraphrase for (36). For Hawaiian, *ho'o-* is implicated in both types of causative, as *ho'o-* prefixed stems can derive both ditransitives, with CAUSER-CAUSEE argument structure, as well as transitive (i.e. not inchoative) verbs, in addition to intransitive verbs, as in the 'simulative' use described above. For this reason, I analyze it as a general valency-increasing morpheme.

- (35) a. The vase broke. (inchoative)
  - b. Watson broke the vase. (causative)
- (36) Watson showed Sherlock the clue.  $\approx$  Watson made/let Sherlock see the clue.

When *ho'o-* does have a typical causative reading (on either definition of 'causative'), a derived transitive (37) takes on the case marking properties of a standard transitive. Case marking for derived ditransitives also behaves as expected, with the direct object in the causative case-marked accusative (38).<sup>6</sup>

(37)	a.	Mākaukau ka mea 'ai.
		ready the thing food
		'The food is ready.'
	b.	Ua hoʻo-mākaukau ʻo Manu i ka mea ʻai.
		PERF CAUS-ready SUBJ Manu OBJ the thing food
		'Manu prepared the food.' (Hawkins 1979: 24)
		··· (1) 1 1 1 1
(38)	a.	Ua 'ike ke koa i ka ihe.
		PERF see the warrior OBJ the spear
		'The warrior saw the spear.'
	b.	Ua hō-'ike ke koa i ka ihe i kona 'enemi.
		PERF CAUS-see the warrior OBJ the spear to his enemy
		'The warrior showed the spear to his enemy.' (Hawkins 1979: 24)

<sup>&</sup>lt;sup>6</sup> I am glossing *i* as an object marker here. The same *i* is sometimes glossed as a preposition (as it has prepositional uses beyond object marking, and it also introduces indirect objects, as in (38b)); the analysis does not depend on this distinction.

In addition to *ho*'o-, Elbert & Pukui (1979) describe the prefix *ha*'a- as having, like *ho*'o-, both causative and simulative uses, with phonologically conditioned allomorphs  $h\bar{a}$ - and *ha*-. The prefix *ha*'a-, like *ho*'o-, can attach to apparent nouns (39, 40), creating verbs. *ha*'a- can also attach to nouns and derive the simulative meaning, as in (41).<sup>7</sup> (I will argue below that the apparent nouns in these cases are actually roots.)

- (39) a. lau 'leaf'b. ha'a-lau 'to produce leaves'
- (40) a. kia 'nail, spike'b. hā-kia 'to nail, fasten'
- (41) a. koa'e 'tropicbird'b. ha'a-koa'e 'to act like the tropicbird'

As with *ho'o-*, when a verb is prefixed with *ha'a-*, case properties behave as expected. For example, in (42a), the verb *lele* is used intransitively to mean 'depart,' and the sole argument is nominative (unmarked). In (42b), *ha'a-lele* 'depart' is now transitive, with a nominative subject and accusative object.

(42)	a.	Ua lele	ka hanu o Moa.	
		PERF depart(INTRAN	s) the breath of Moa	
		'Moa's breath has dep		
	b.	Ha'a-lele	koa wa'a i koa kanaka.	
		CAUS-depart(TRANS)	koa canoe OBJ koa people	
		'The koa canoe leaves	the warriors (lit. 'koa men').'	(Pukui 1983)

Under Elbert & Pukui's structuralist analysis, *ho*'o- and *ha*'a- are separate morphemes which attach in different positions in affixal structure. Here, I will argue, pace Elbert & Pukui, that *ho*'o- and *ha*'a- should be analyzed as syntactically conditioned allomorphs, parallel to the analysis of nominalizers *-na* and *'ana* discussed above.

# 3.1 Interpretation and interaction

While *ho*'o- and *ha*'a- are historically related (both being related to Proto-Polynesian *faka*- (Elbert & Pukui 1986)), it is not immediately obvious if these should be treated as separate morphemes or as allomorphs in the synchronic grammar. While I will argue for an analysis in which *ho*'o- and

<sup>&</sup>lt;sup>7</sup> Elbert & Pukui (1979; 1986) also note that ha'a, when prefixed to certain adjectives, and in particular color adjectives, can have the meaning '-ish' or 'somewhat,' as in '*ele* 'black' versus  $h\bar{a}$ -'*ele* 'blackish.' A reviewer notes that this may be expected as a type of simulative meaning along the lines of (41).

*ha*'*a*- are syntactically conditioned allomorphs, Elbert & Pukui (1979) treat *ho*'*o*- and *ha*'*a*- as separate morphemes.

On the semantic side, Elbert & Pukui (1979) motivate their analysis by the fact that ho'oand ha'a- can occur with the same root, deriving the same meaning (43, 44); the glosses for (43c) and (44c) are reproduced exactly as they appear in Elbert & Pukui (1986). Within Elbert & Pukui's structuralist framework, the fact that both ho'o- and ha'a- can occur on the same root, with no clear conditioning factor governing their distribution, suggests that these be treated as separate morphemes.

- (43) a. koʻo ʻbrace, prop, pole'
  - b. ho'o-ko'o 'to prop with a pole'
  - c. ha'a-ko'o (same as ho'o-ko'o)
- (44) a. 'awe 'pack, knapsack'
  - b. hō-'āwe 'to carry on the back'
  - c. hā-'awe (same as hō-'āwe)

Further, Elbert & Pukui (1979) point out that ho'o- can attach to a ha'a- prefixed stem (i.e. ho'o-ha'a-ROOT), but never the converse. For an example such as (45), prefixation of ho'o- and ha'a-results in valency increase with each prefixation, as in (45c); (46) illustrates the same pattern of data. Given Elbert & Pukui's structuralist perspective, this is taken as evidence that ho'o- and ha'a- have separate, dedicated positions in the verbal structure.

- (45) a. nui 'large'
  - b. ha'a-nui 'to brag, exaggerate'
  - c. ho'o-ha'a-nui 'to cause to brag'
- (46) a. nini 'to pour'
  - b. ha-nini 'to overflow'
  - c. ho'o-ha-nini 'to cause an overflow'

Corpus analysis on Elbert & Pukui (1986)'s dictionary bears out Elbert & Pukui (1979)'s intuition that ho'o- may prefix to ha'a-, but not the other way around. While forms with the struture ho'o-ha'a-ROOT are well attested (see e.g. (45c) and (46c)), corpus analysis (considering also phonologically conditioned allomorphs) yields only one result for a potential ha'a-ho'o-ROOT form. However, this form (47) is a noun, which is listed with the 'rare' tag in the dictionary; if it does involve the same ha'a- and ho'o- discussed here, either in the grammar described here or in some earlier form of the language recorded in the dictionary via textual source, this form

does not appear to involve a valency increasing use of *ha*'*a*-. Note that *ho*'*oili* ('to bequeath') is, however, derived from *ili* ('inheritance,' 'to inherit (intransitive)').

(47) hāhoʻoili (noun; rare) 'hereditary stalk; established people with inherited land'

However, ho'o- and ha'a- do not behave identically. In particular, prefixation with ha'a- (like the nominalizer -*na*) can yield idiosyncratic interpretations, unlike ho'o-. While it is true that ho'o- and ha'a- can attach to the same word and derive the same or similar meanings, as in (43) and (44), some ha'a- derived forms have less predictable meanings, whereas ho'o- is generally transparent semantically.

For example, *lele* is an intransitive verb, and *ho'o-lele* is a transitive verb that extends those meanings (48). However, *ha'a-lele* has a slightly different set of meanings that are not obvious from the meaning of *lele*. When both prefixes occur, as in *ho'o-ha'a-lele*, the meaning is derived from the meaning of the *ha'a-* prefixed form, not from the meaning of the root alone.<sup>8</sup>

- (48) a. lele (intransitive verb) 'to fly, jump; to rush out, as to attack; to disembark; ...'
  - b. ho'o-lele (transitive verb) 'to cause to fly; to fly, as a kite; to embark, as on a project ...'
  - c. ha'a-lele (transitive verb) 'to leave, desert, abandon ...'
  - d. ho'o-ha'a-lele 'to pretend to quit or leave; to cause to quit'

Further, *ho*'o-, if present, is always the leftmost causative/simulative prefix; *ho*'o- is also fully productive, as discussed above. *Ha*'a-, though, does not occur on all roots. Instead, other morphemes occur with the same meaning as *ha*'a-, in particular ' $\bar{a}$ - and  $k\bar{a}$ -. Elbert & Pukui (1979: 65, 68–71) also define these as 'causative/simulative' (though unproductive) and treat them on a par with *ha*'a-. In addition, in some cases more than one of these three morphemes (*ha*'a-, ' $\bar{a}$ -, and  $k\bar{a}$ -) can occur on the same root, but with slightly different senses. (49) and (50) illustrate the valency increasing uses of prefix ' $\bar{a}$ - and  $k\bar{a}$ -, respectively.

- (49) a. hewa 'mistake'
  - b. 'ā-hewa 'to condemn'
- (50) a. hinu 'lustrous'
  - b. kā-hinu 'to annoint'

While ha'a-, ' $\bar{a}$ -, and  $k\bar{a}$ - are generally in complementary distribution, a limited number of roots take both ' $\bar{a}$ - and  $k\bar{a}$ - (Elbert & Pukui 1979: 68), usually with a slightly different shade of meaning (51, 52).

<sup>&</sup>lt;sup>8</sup> These semantic differences are reflected as well in the organization of Elbert & Pukui's dictionary (Elbert & Pukui 1986). In their work, they include *ho'o-* prefixed forms under the headword of the root (e.g. *ho'o-lele* is part of the entry for *lele*). In contrast, *ha'a-* prefixed forms have their own entry.

- (51) a. muku 'cut short'
  - b. 'ā-muku 'to cut off'
  - c. kā-muku 'to diminish'
- (52) a. wili 'to twist'
  - b. 'ā-wili 'to mix'
  - c. kā-wili 'to snare'

As with roots prefixed with ha'a-, roots prefixed with  $'\bar{a}$ - and  $k\bar{a}$ - can have ho'o- as an additional prefix. And, parallel to the ho'o-ha'a-ROOT forms discussed above, ho'o- increases the valency of the stem, maintaining the derived meaning, typically deriving a transitive from an intransitive, as in (53) and (54).

- (53) a. pono 'proper'
  - b. 'ā-pono 'to approve' (intransitive)
  - c. ho-'ā-pono 'to approve, accept, justify, find not guilty in a trail' (transitive)
- (54) a. huli 'to turn'
  - b. kā-huli 'to change' (intransitive)
  - c. hoʻo-kā-huli 'to change' (transitive)

A preliminary attempt at characterizing the data in this section leads to the following conclusions, though several additional patterns of data are discussed below, leading to a more complete analysis. First, three prefixes, all less productive than ho'o-, are mostly in complementary distribution with each other: ha'a-,  $'\bar{a}$ -, and  $k\bar{a}$ -. These three morphemes generally increase the valency of the root to which they attach, though the behavior of these prefixes is more fully specified in the following section. In the rare case that more than one of ha'a-,  $'\bar{a}$ -, and  $k\bar{a}$ - can attach to a root, there typically obtains an interpretation that is not fully transparent. At the same time, a different form, ho'o-, can co-occur with the three morphemes ha'a-,  $'\bar{a}$ -, and  $k\bar{a}$ -, always attached in the 'leftmost' position, and with no competing allomorph, setting aside surface phonological alternations.

Within the framework summarized above, I argue that the Hawaiian causative/simulative affixes should be understood in terms of root versus non-root attachment. Specifically, ho'o- is the outer-attached realization of a type of verbal affix, while the other forms are inner-attached realizations of the same morpheme; direct root attachment can then induce root-conditioned allomorph selection (e.g. ha'a-, ' $\bar{a}$ -, or  $k\bar{a}$ -) as well as non-transparent semantics, as discussed above with respect to (48), (53), and (54). The 'outer'-attaching head is not local to the root, and therefore does not participate in root-conditioned allomorph selection. Finally, outer attachment (the ho'o- forms), being non-local to the root, always results in transparent semantics.

# 3.2 Morpho-syntactic status of the Hawaiian causative

In this section, I further consider the status of *ho*'o- and *ha*'a- with respect to their status as potential allomorphs and some theoretical considerations for causatives more broadly. While the analysis of *ha*'a- (and related forms) poses some complications, I begin with a discussion of *ho*'o-, which patterns with other cognate forms in Polynesian.

For example, Gould et al. (2009) discuss the status of the Niuean prefix *faka*-. As illustrated in (55), (56), and (57), the core cases of affixation with Niuean *faka*- pattern with those of Hawaiian *ho*'o-. Like with Hawaiian, affixation with *faka*- can also indicate intention. According to Gould et al. (2009), *faka*- selects a vP (which itself may have a vP, aP, or nP complement), along similar lines to the analysis presented here for Hawaiian *ho*'o-.

- (55) a. tū 'to stand'
  - b. faka-tū 'to make stand'
- (56) a. fī 'enemy'b. faka-fī 'to niggle'

(57) a. Niue 'Niue'

b. faka-Niue 'the Niuean way'

Samoan fa'a- is extensively discussed by Tollan (2018) and Hopperdietzel (2021), with core cases appearing in (58) and (59) (Tollan 2018: 27).

- (58) a. Sā puna le vai. PST boil the water 'The water boiled.'
  - b. Sā fa'a-puna e le teine le vai.
    PST CAUS-boil ERG the girl the water 'The girl boiled the water.'
- (59) a. Sā siva le teine. PST dance the woman 'The woman danced.'
  - b. Sā fa'a-siva e le tamaloa le teine.
    PST CAUS-dance ERG the man the woman 'The man made the woman dance.'

As Tollan (2018) points out, Samoan  $fa^{\cdot}a^{-}$  derives causatives from unaccusative, unergative, and middle predicates. However, an important difference between Samoan and Hawaiian is that  $fa^{\cdot}a^{-}$  appears to be more restricted than  $ho^{\cdot}o^{-}$ , insofar as Samoan  $fa^{\cdot}a^{-}$  does not apply to transitive verbs

with ergative case-assigned subjects; while outside the scope of this paper, these differences may be in part due to the difference in case marking alignment between the two languages. Like Hawaiian ho'o-, Samoan fa'a- introduces a volitional agent.

Similar to the analysis of ho'o- proposed here for Hawaiian, Hopperdietzel (2021: 130) argues that Samoan fa'a- does not select roots based on the following evidence: i) the absence of rootdriven allomorphy, ii) the absence of idiosyncratic readings for fa'a- -prefixed words (contrasted with Samoan prefix ta-, which Hopperdietzel does analyze as root-selecting), and iii) preservation of meaning for fa'a- prefixed words under root-selecting nominalization. Hopperdietzel's analysis of fa'a- as an outer causative allomorph and less productive ta- prefix as an inner causative allomorph therefore parallels the analysis of causatives presented here with respect to Hawaiian.

While having properties of a non-root-selecting affix, Tollan (2018) argues that Samoan  $fa^{\prime}a^{-}$  is a Voice head with respect to the 'bundling' parameter for verbal heads proposed Pylkkänen (2008), according to which specific languages may realize different verbal properties (Voice, causation, transitivity, etc.) on either individual or conflated heads (see also Nie (2020) for extensions of this model). Hopperdietzel (2021) suggests that Samoan  $fa^{\prime}a^{-}$  has properties of both Voice bundling and non-Voice bundling languages; the restriction against  $fa^{\prime}a^{-}$  with transitive verbs that have ergative subjects suggests Voice bundling, because  $fa^{\prime}a^{-}$  introduces causative semantics while also interacting with Voice via the restriction on certain transitives.

Samoan fa'a- has non-bundling characteristics at the morphological level as well, insofar as fa'a- co-occurs with passive morphology, according to Hopperdietzel (2021). Legate (2014: 111–118) shows that Malayo-Polynesian Acehnese also allows causative and passive morphology to co-occur, which she argues is strong evidence against a bundling analysis for Acehnese. Like Samoan and Acehnese, Hawaiian also allows ho'o- with the affixal passive in Hawaiian. For example, (60) and (61) illustrate forms with the passive suffix -C(i)a. In (60), the base '*ike* is modified by ho'o- resulting in a causative, with the causative form being passivized in (60c). (61) illustrates the same pattern. While I return to a discussion of the Hawaiian passive below, the data here show that ho'o- and the passive may co-occur.

- (60) a. 'ike 'to see'
  - b. hō-'ike 'to show'
  - c. hō-'ike-a 'to be shown'
- (61) a. ala 'path; (intransitive) to waken, stay awake; (intransitive) to arise, get up'
  - b. ala-hia (pas/imp. of ala)
  - c. ho'-āla-hia 'to awaken; wakefulness; insomnia'

(62) I ka hiki hoʻ-āla-hia nei lā, ē ala mai ʻoe at the coming CASU-be.awakened-PASS DIR time, IMP waken DIR you 'the time to be awakened comes, awake'
(Elbert & Pukui 1986)

Given the passive data discussed here, and also considering the lack of Voice/transitive restriction on *ho*'o- in Hawaiian (unlike in Samoan), I conclude that Hawaiian is not a Voice bundling language insofar as the causative is concerned. In other words, non-causative v, causative v, and passive v may all occur independently of each other. For example, (60a) and (61a) are verbal non-causatives, while (61b) is a passive in absence of a *ho*'o- causative, while (60c) includes both the passive and a *ho*'o- causative. Under Pylkkänen (2008)'s analysis, this is evidence that Voice and v are distinct.

Therefore, I will gloss *ho*'o- as  $v_{caus}$ . Following Hawkins (1979)'s characterization of the thematic properties of *ho*'o-, I take  $v_{caus}$  to be associated with an agentive argument in its specifier, and therefore distinct from transitive/unergative v, which is associated with (at least) agentive and experiencer arguments; in section 4, below, I further explore the relationship between  $v_{caus}$  and v. Therefore, the analysis developed here is similar to that proposed by Harley (2017) for other non-bundling languages, according to which "verbalizing v and causativizing Caus are categorically and morphosyntactically distinct."

With an analysis of *ho*'o- as a non-root selecting allomorph of  $v_{caus}$  in place, I now consider the status of *ha*'a- and its alternants. Elbert & Pukui (1986) describe *ha*'a- as "a prefix similar in meaning to the causative/simulative *ho*'o-," while Elbert & Pukui (1979: 68) describe the prefixes ' $\bar{a}$ - and  $k\bar{a}$ - as also having meanings similar to *ho*'o-. In some cases, the similarity to *ho*'o- is clear in terms of valency increase, as in (63, 64), repeated from above.

- (63) a. lele (intransitive verb) 'to fly, jump; to rush out, as to attack; to disembark; ...'b. ha'a-lele (transitive verb) 'to leave, desert, abandon ...'
- (64) a. nui 'large'
  - b. ha'a-nui 'to brag, exaggerate'

A key point in the framework adopted here, however, is that roots must have minimally one (often null) affix, with roots themselves being underspecified semantically. Under the analysis in which *ha'a*- is a root selecting prefix, it is not attached e.g. to the word *lele* (63a), but rather to a root which is only indirectly visible (i.e. roots cannot stand alone without an affix). Therefore, the representations of the examples above are arguably as in (65) and (66), under an analysis in which *ha'a*- is a root-selecting affix, independent of its status with respect to *ho'o*-. Likewise in (66), *ha'a*- does not increase the valency of *nui* the adjective, but rather  $\sqrt{nui}$  the root. For this reason, evaluating the status of a root-attached affix is arguably more difficult as compared to outer affixes, which, by definition, attach to stems that have fixed meanings.

- (65) a. lele  $\rightarrow [\nu [\sqrt{lele}]]$  'to fly, jump; to rush out, etc.'
  - b. ha'a-lele  $\rightarrow [v_{caus} [\sqrt{lele}]]$  'to leave, desert, abandon, etc.'
- (66) a. nui  $\rightarrow [a [\sqrt{nui}]]$  'large'
  - b. ha'a-nui  $\rightarrow [v_{caus} [\sqrt{nui}]]$  'to brag, exaggerate'

In order to characterize the difference between e.g. *lele* and *ha*'*a-lele* in (65), I propose that v and  $v_{caus}$  are distinct in this grammar. In other words, both (65a) and (65b) represent idiosyncratic interpretations with AFFIX-ROOT structure. Returning to the discussion of Voice-bundling above, a complicating factor is that  $v_{caus}$ , when in root-selecting position, and root-selecting v both act as verbalizers and also argument introducers. However, if the analysis of forms such as (65) is on track, v and  $v_{caus}$  are morphologically distinct heads and therefore not bundled, although both can select roots directly.

Given the available corpus evidence, it is difficult to exactly specify the semantic contribution of v versus  $v_{caus}$ . However, according to Makanani (1973), also cited by Elbert & Pukui (1979), some of the key effects of prefixation with *ha*'*a*- when compared with a form without the prefix include transitivization (67), and verbalization (including turning a form into an unambiguous verb), as in (68)–(70).

- (67) a. ka'a 'to roll' (intransitive)b. 'ā-ka'a 'to peel' (transitive)
- (68) a. kiu 'spy'
  - b. 'a-kiu 'to spy'
- (69) a. liko 'leaf bud; to bud' (verb or noun)b. hā-liko 'to bud, spring forth' (verb only)
- (70) a. la'i 'calm, stillness' (verb or noun)
  - b. hā-la'i 'same as *la'i*, calm' (verb only)

In other cases, meanings of verbs may be different without a change in grammatical status, as in (71), (72), and (73). Other core meanings of these prefixes include no change (72a, 72b), as well as the simulative reading discussed above.<sup>9</sup>

- (71) a. inu 'to drink'
  - b. hā-inu 'to give drink'

<sup>&</sup>lt;sup>9</sup> One complication for a few of the 'causative/simulative' kā-prefixed forms is that they are nouns; however Elbert & Pukui (1979: 69), describe some of these as "extremely common," for example kā-ma'a 'sandals,' from ma'a, 'to tie.' Given their description as common forms, I will set these aside as either zero-nominalized or lexicalized exceptions.

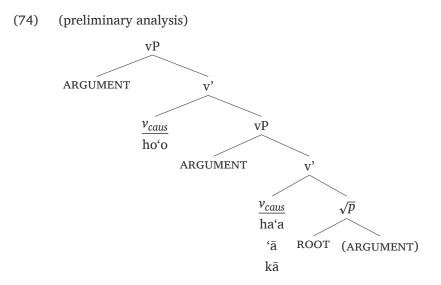
- (72) a. lewa 'to float, swing' (intransitive)
  - b. ha'a-lewa 'same as lewa'
  - c. ka'a-lewa 'to revolve; to drift' (intransitive)
- (73) a. huli 'to turn, reverse'
  - b. kā-huli 'to change, alter'

As discussed above in connection with (65) and (66), the (a) and (b) examples in the previous pairs of words cannot be compared directly in the framework adopted here; rather, each word consists of a null categorizing prefix (v, n, etc.) in the (a) example and  $v_{caus}$  in the (b) example. For the examples in which there is no difference or only a semantic difference between the (a) and (b) forms (e.g. (72a, 72c)), I conclude that the (a) form (with verbalizing v) and the (b) form (with  $v_{caus}$ ) have their meaning due to idiosyncratic interpretation (given the semantically underspecified nature of roots).

Given the evidence here (including the commentary included in Elbert & Pukui (1979)), I conclude that ha'a-,  $'\bar{a}$ -, and  $k\bar{a}$ - are root-selecting allomorphs of a causative verbal head; grammatically speaking, this causative head adds a specifier, though an understanding of the semantic difference between root-selecting v and  $v_{caus}$  requires additional research. While ha'a-,  $'\bar{a}$ -, and  $k\bar{a}$ - are generally in complementary distribution, in some limited cases these can be prefixes to the same root, typically with a different shade of meaning (51, 52), which appear similar to morphological 'doublets' (e.g. *recitation/recital, cover/coverage*) discussed by Halle (1973) and Kiparsky (1982). According to the analysis in Embick (2016), doublets of this kind are restricted to root-selecting allomorphs, and typically involve polysemy, exactly as observed in the Hawaiian cases above.

A comparison of ho'o-, the outer allomorph, and the inner allomorphs ha'a-, ' $\bar{a}$ -, and  $k\bar{a}$ -, shows that the differences between the outer and inner allomorphs pattern with other analyses of inner and outer causative attachment in other languages in terms of interpretation and productivity (see e.g. Travis (2000a); Svenonius (2005); Harley (2008)). Differences between the interpretations of outer-attaching ho'o- and the inner-attaching forms are due to their differing structure, which I examine in more detail in the section 4; additional evidence for ha'a- as a root-attached form is presented in section 5 in terms of affix co-occurrence restrictions.

Returning now to the analysis of ho'o-/ha'a- in terms of inner/outer allomorphs, I argue that there exists one general valency increasing morpheme  $v_{caus}$ , with underlying phonology CV(CV) (ignoring length distinctions on the initial V; note that the glottal stop is phonemic in Hawaiian). This one underlying morpheme would then have the outer allmorph ho'o- and inner allomorphs ha'a-, ' $\bar{a}$ -, and  $k\bar{a}$ -, with the morpho-syntactic representation in (74), in which specific allomorphs are selected post-syntactically via late insertion in a realizational framework.



Under such an analysis,  $v_{caus}$  would have the insertion rules listed in (75). These insertion rules characterize the fact that *ho*'o- is always an outer allomorph in the presence of any one of *ha*'a-, ' $\bar{a}$ -, or  $k\bar{a}$ -. Further, as *ho*'o- can attach to  $v_{caus}$ , the fact that *ho*'o- yields only transparent interpretation is derived. However, (75) cannot be a complete analysis, because there are many instances in which *ho*'o- appears to attach to the root directly, as in (28–34).

### (75) Spell-out of $v_{caus}$ (preliminary analysis)

- a.  $v_{caus} \leftrightarrow \text{ho'o-/}_{} \{v_{caus}\}$
- b.  $v_{caus} \leftrightarrow ha'a-/\___ {\sqrt{kia}, \sqrt{koa'e}, \sqrt{lele,...}}$
- c.  $v_{caus} \leftrightarrow '\bar{a}$ -/\_\_\_\_{/hewa,...}
- d.  $v_{caus} \leftrightarrow k\bar{a}$ -/\_\_\_\_ { $\sqrt{hinu,...}}$

While I will ultimately adopt an analysis along the lines of (74,75) for  $v_{caus}$ , several complications remain to be addressed for the analysis of valency increase/causative. These complications involve a more detailed view of the argument structure of words with the relevant prefixes.

# 4 Extending the analysis of valency increase

While Elbert & Pukui (1979) gloss all of the prefixes discussed thus far as causative or simulative, the argument-structural and semantic properties of these prefixes are more varied than one might expect. According to Hawkins (1979), the term 'causative' as applied to *ho'o-* is misleading, as it does not always have a clear causative reading. In particular, Hawkins (1979: 24) notes that with transitive verbs, *ho'o-* often does not add an argument, but rather "shows that the agency of the verb is more deliberate."<sup>10</sup> From a cross-linguistic perspective, a reading of causative

<sup>&</sup>lt;sup>10</sup> Elbert & Pukui (1979) confirm this reading, citing an earlier version of Hawkins (1979).

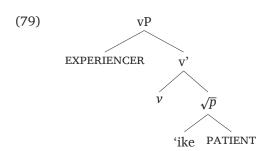
affixes which highlights the intention or deliberate purpose of the agent, without increasing valency, is not uncommon, as noted by Aikhenvald (2011) for a set of typologically diverse languages. For descriptive purposes, I will follow Hawkins (1979) and call this the 'deliberative' reading.

- (76) a. Ua peku 'o Kale i ke kinipōpō. PERF kick SUBJ Kale OBJ the ball 'Kale kicked the ball.'
  - b. Ua hoʻo-peku ʻo Kale i ke kinipōpō.
    PERF CAUS-kick SUBJ Kale OBJ the ball
    'Kale deliberately kicked the ball.' (Hawkins 1979: 24)

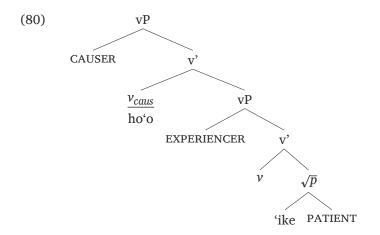
As suggested by Hawkins (1979: 25), affixation of *ho'o-* always results in the subject being agentive/volitional, regardless of the effect on the number of arguments. For example, (77) (repeated from (38)), illustrates the verb *'ike* 'to see' taking an additional argument as  $h\bar{o}$ -*'ike* 'to show.' However, a similar experiencer-type verb, *lohe* 'to hear,' becomes agentive 'to listen' with the same prefix (78), but without an increase in valency.

(77)	a.	Ua	'ike	ke	koa	i	ka	ihe.					
		PERF	see	the	warri	or OBJ	the	spear	r				
		'The warrior saw the spear.'											
	Ъ.	Ua	hō-ʻi	ke	ke	koa	i	ka	ihe	i	kona	'enemi.	
		PERF	CAU	s-see	the	warrior	OB	the	spear	to	his	enemy	
		'The v	warri	or sh	owed	the spe	ar to	his ei	nemy.'				(Hawkins 1979: 24)
(78)	a.	Ua	lohe	ka	luah	ine	i	na	ma	nu.			
		PERF	hear	the	old-v	woman	OBJ	the(p	ol) bir	d			
		'The o	old w	omai	n hear	d the b	irds.'						
	Ъ.	Ua	hoʻo	-lohe	ka	luahin	le	i	na	1	manu.		
		PERF	CAU	s-hea	ar the	old-we	omar	1 OBJ	the(p	1) 1	bird		
		'The o	old w	omai	n liste	ned to t	he bi	irds.'					(Hawkins 1979: 24)

In order to explain the data in (76–78a), I first consider non-deliberative transitives, such as *peku* 'to kick,' *lohe* 'to hear' and *'ike* 'to see.' Following Marantz (1984), Chomsky (1995), Kratzer (1996), and a substantial body of subsequent research, I assume a representation in which a verbal head *v* introduces the agent or experiencer argument for a simple transitive (for additional references, see Marantz (1997); Hale & Keyser (2002), as well as Pylkkänen (2008) and references therein). Under such analysis, the argument-structural representation of *'ike* 'to see,' would be as in (79).



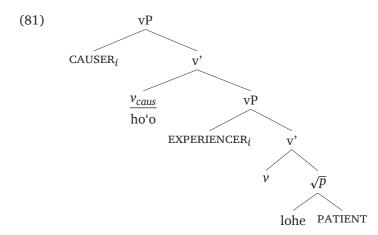
Following the analyses in e.g. Pylkkänen (2008), Harley (2008), and Harley (2017), the representation of  $h\bar{o}$ -*'ike*, the derived causative 'to show,' would include an additional causer argument as compared to the structure in (79). This would result in the following argument-structural representation, not including any subsequent movement operations needed to derive the final word order.



The representations in (79) and (80) provide an analysis for (77), in which affixation of ho'otransparently increases the number of arguments. The structure in (80) is also consistent with the analysis of ho'o- in the prior section, insofar as ho'o- is in the outer domain and not root attached in (80), with verbalizing v being in the inner domain.

This analysis can be extended to the deliberative use of ho'o- if the 'causer' argument and the argument of v are co-indexed. For example, in (78), ho'o-lohe has the meaning 'to listen,' derived from *lohe* 'to hear.' Suppose that, parallel to  $h\bar{o}$ -'ike, both a causer and an experiencer argument are represented, but in this case the causer argument binds the experiencer argument, such that the causer (argument of ho'o-) and the experiencer argument of 'to listen' are co-indexed. An example such as (78b) would, roughly, have the meaning 'the woman caused herself to hear the birds.' This analysis could therefore be understood as a morpho-syntactic implementation of the model of anticausatives proposed in Koontz-Garboden (2009) and Beavers & Koontz-Garboden

(2013).<sup>11</sup> Under this view, the representation of the deliberative is the same as the transparent causative, but with the relevant co-indexation, as in (81); the same analysis extends to (76) 'to kick deliberately,' in which case v is agentive.



With respect to the co-indexed arguments in (81), the lower argument must be a null reflexive or, if not, it must be omitted from the final representation in order to satisfy constraints on binding. This analysis facilitates the understanding of ho'o- as a valency increasing morpheme in both its causative and deliberative uses, thereby unifying the two constructions.<sup>12</sup>

The model developed thus far can account for additional examples in which affixation of ho'o- does not transparently increase valency. (82) illustrates a stative base, heo 'proud,' and several forms built from this base, where 'stative' refers to states of affairs, roughly corresponding to adjectival predicates (Elbert & Pukui 1979: 49). In (82b), ha'a- is affixed, resulting in an intransitive. (82c) and (82d), however, are somewhat problematic given the analysis thus far; the form with only ho'o- affixed has no argument structural information listed in the dictionary,

- (i) (a) pe'e (intransitive) 'to hide oneself'
  (b) ho'o-pe'e 'to hide or pretend to hide' [ v<sub>caus</sub> [ v [ pe'e ]]]
- (ii) (a) hūnā (transitive) 'to hide'
  - (b) ho'o-hūnā 'to hide deliberately' [ $v_{caus}$  [v [hūnā ]]]

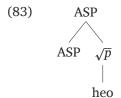
<sup>&</sup>lt;sup>11</sup> Tyler (2023) examines non-valency increasing causatives from a cross-linguistic perspective, adopting aspects of Bruening (2013)'s analysis of passives. I refer the reader to this paper for additional details of this construction and thank Matthew Tyler for discussion of these examples.

<sup>&</sup>lt;sup>12</sup> A question which remains open concerns why the deliberative reading is associated with transitive verbs. For example, Hawkins (1979) cites the contrast between (i) and (ii), in which the derived form of the intransitive has a simulative reading, whereas the derived form of the transitive has the deliberative interpretation. In the framework pursued here, both the intransitive and transitive versions of 'hide' should involve the same v head, as the intransitive entry is unergative (and not unaccusative). Whether the explanation for the difference in *ho'o-* derived forms should implicate the morpho-syntax or the semantics is unclear to me. Nevertheless, in both (ib) and (iib), v intervenes structurally between the valency increasing morpheme and the root, resulting in the outer allomorph selection.

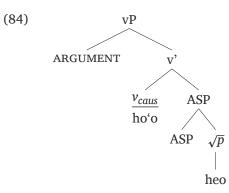
but, given the translation, I assume that it is – or at least can be – intransitive, and ho'o--affixed forms built on statives, as in this case, are commonplace. Finally, the form with ho'o- and ha'a-affixed is listed as a 'causative/simulative,' and, given the translation, I assume that its primary (perhaps only) use is intransitive.

- (82) a. heo 'proud' (stative)
  - b. ha'a-heo 'proud, haughty; to strut, to cherish with pride' (intransitive)
  - c. ho'o-heo 'to show off, boast'
  - d. ho'o-ha'a-heo 'to act haughty' (listed as 'causative/simulative')

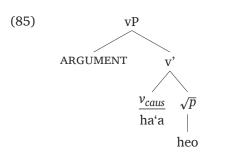
To account for this pattern of data, I follow Kratzer (2000) and Embick (2003) in assuming that statives involve an aspect head which is neither eventive nor resultative, i.e. not eventive v (see also Travis (1994) and Harley (1995)). Under this analysis, (82a) has the following representation, in which the aspect head ASP has a null realization.



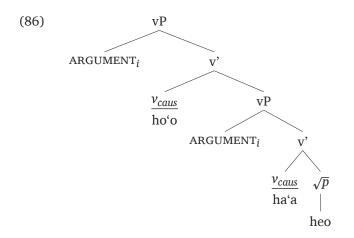
With respect to (82c), which has *ho'o-* but, presumably, only one argument, *ho'o-* attaches to ASP directly. This accounts for the single argument for this form, while the causative head introduces the eventivity (assuming that causation entails eventivity). The result is the structure in (84), in which I leave the thematic status of the argument unspecified for lack of fine-grained semantic evidence.



The final two forms to consider from (82) both involve affixation with ha'a-. In the situation where ha'a- appears as the sole affix,  $v_{caus}$  attaches directly to the root, taking the place of v. As with (84), the causative head itself introduces eventivity.



With respect to (82d), this is listed as a simulative form, according to which it would have a single argument and the semantics associated with simulative forms, i.e. 'to act like x, to behave like x, or to pretend to be x.' Simulative forms with ho'o-ha'a- prefixation can be viewed as somewhat parallel to deliberatives. For the deliberative reading, the argument of ho'o- binds the null argument of  $\nu$ , whereas for a simulative such as ho'o-ha'a-heo, the argument of ho'o- binds a null argument of ha'a-. Roughly, the interpretation associated with (86) corresponds to the agent causing their own self to take on the properties of the root.



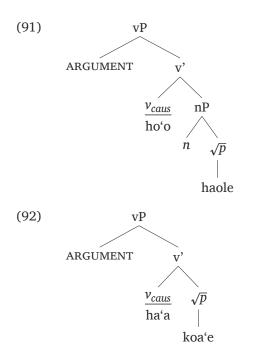
Given the analysis pursued here, a number of morphemes can attach locally to a root; thus far, these have included the non-eventive/resultative aspect head ASP, transitive/unergative v, and the valency increasing morpheme, which is realized as one of the phonologically conditioned allomorphs of *ha'a*-, or, less commonly, *'ā-* or *kā-*. When the valency increasing morpheme is in a position that is not local to the root, it is realized as *ho'o-* (or one of its phonologically conditioned allomorphs). The composition of these different morphemes yields a number of readings, including ditransitive causatives, deliberative transitives, and simulatives.

A final complication for the analysis of *ho*'*o*- and *ha*'*a*- involve simulative forms derived by prefixation of *ho*'*o*- or *ha*'*a*- directly on the root (in contrast to the pattern with *ho*'*o*-*ha*'*a*-ROOT observed for (82d)). Examples (88)–(90), repeated from (32–34) and (41), illustrate this pattern of data.

(87)	a.	kuli 'deaf'
	b.	hoʻo-kuli ʻto act deaf or to feign deafness'
(88)	a.	haole 'white person'
	b.	ho'o-haole 'to act like a white person'
(89)	a.	wahine 'woman'

- b. ho'o-wahine 'to behave like a woman, to grow into womanhood, to be effeminate'
- (90) a. koa'e 'tropicbird'
  - b. ha'a-koa'e 'to act like the tropicbird'

For these simulatives, I take (87) to follow from the analysis of statives, involving the head ASP, discussed above in connection with (82a). The rest of this data set, however, involves prefixation of *ho*'o- or *ha*'a- on nouns. In order to account for these data, I adopt the analysis of Embick (2003) and Embick & Marantz (2008), according to which *n* is a cyclic, category defining head on a par with *v*. Given that these simulatives have only one argument, a natural way to model this data is to let the causative morpheme either select the root directly (as proposed above for e.g. (85)), in which case the *ha*'a- allomorph obtains, or to allow the causative morpheme to select nP, in which case the surface form is *ho*'o-. According to this analysis, the representations of (88) and (90) would be as in (91) and (92), respectively.



### 4.1 Interim summary

Thus far, I have argued for an analysis of ho'o-, ha'a-, and related forms in which these are all allomorphs of one valency increasing morpheme. As the selectional properties and semantics of these forms are exceptionally broad compared to more cross-linguistically familiar cases of causatives, I have treated the underlying morpheme as an agentive, general valency increasing type of verbalizer, labeled  $v_{caus}$ , for the purpose of morpho-syntactic analysis. The key idea advanced here is that ho'o- is to be treated as an outer allomorph of the valency increasing morpheme, with ha'a-,  $'\bar{a}$ -, and  $k\bar{a}$ - being inner allomorphs.

The descriptive literature on Hawaiian has implicated these allomorphs in a number of constructions, including causatives, deliberatives, and simulatives. These different constructions are modeled here via the combination of various morpho-syntactic properties, including the presence of functional heads such as v, ASP, n, null arguments and coindexation, and inner and outer domains for allomorph selection. The outer domain spell-out of  $v_{caus}$  is reflected in (93a), which includes the property of  $v_{caus}$  selecting  $v_{caus}$  (reflecting ho'o-ha'a-ROOT forms); following Nie (2020), I assume that  $v_{caus}$  cannot occur more than twice due to independent limits on argument licensing. The other realizations of  $v_{caus}$  all reflect root selection.

### (93) Spell-out of relevant morphemes

- a.  $v_{caus} \leftrightarrow \text{ho'o-/}_{} \{v_{caus}, v, n, \text{ASP}\}$
- b.  $v_{caus} \leftrightarrow ha'a-/$  { $\sqrt{kia}$ ,  $\sqrt{koa'e}$ ,  $\sqrt{lele}$ ,  $\sqrt{ROOT}$ ,...}
- c.  $v_{caus} \leftrightarrow \bar{a}$ -/\_\_\_\_{hewa,  $\sqrt{ROOT}$ ,...}
- d.  $v_{caus} \leftrightarrow k\bar{a}$ -/\_\_\_\_ { $\sqrt{hinu}, \sqrt{ROOT},...$ }
- e.  $\nu \leftrightarrow \emptyset$ -/\_\_\_\_{\sqrt{ROOT}}
- f. ASP  $\leftrightarrow \emptyset$ -/\_\_\_\_ {\_\_\_ROOT}
- g.  $n \leftrightarrow \text{`ana / } \{vP\}$
- h.  $n \leftrightarrow -na/$ \_\_\_\_{NOOT,...}

The model in (93) explains some longstanding generalizations in the descriptive literature (Elbert & Pukui 1979; Hawkins 1979), insofar as ho'o- attaches to a range of functional heads, with the other valency-increasing allomorphs selecting roots. One such generalization is that ho'o- is far more productive than any of ha'a-, ' $\bar{a}$ -, and  $k\bar{a}$ -. This is further reflected in Elbert & Pukui (1979)'s claim (confirmed via corpus search) that ho'o- can be a prefix for any one of ha'a-, ' $\bar{a}$ -, and  $k\bar{a}$ -, but never the other way around. Additionally, only ha'a-, ' $\bar{a}$ -, and  $k\bar{a}$ - can yield idiosyncratic interpretations, whereas affixation with ho'o- is always transparent. At the same time, the fact that Elbert & Pukui (1979) call both ho'o- and ha'a- 'causative/simulative' prefixes is captured here by their analysis as allomorphs of one underlying morpheme, in contrast to Elbert & Pukui (1979)'s own analysis in which these are separate morphemes.

The primary way that these prefixes differ is with respect to their ability to trigger causative semantics, in the 'make' or 'let' causative sense of the word. *Ho*'o-, but apparently not *ha*'a-, can derive forms that are expressed by lexical causatives in English, such as 'feed' (29) and 'show' (77); also, many *ho*'o- forms are glossed in Elbert & Pukui (1986) in the form "to cause to [ROOT]", as in (45c), (46c), and (48d). This is relevant because translations of the form "to cause to [ROOT]" indicate CAUSER-CAUSEE argument structure, in which an inner form (null v or inner  $v_{caus}$ ) introduces a specifier (the causee) in addition to the presence of a higher phrase, headed by outer  $v_{caus}$  (the *ho*'o- prefix), which introduces the causer. According the model of causatives developed above, only *ho*'o- forms (with or without inner *ha*'a-) should allow this type of argument structure, while *ha*'a- type causatives should not have this structure unless further affixation with *ho*'o- applies.

In order to further examine this semantic element of valency increase, a corpus search of Elbert & Pukui (1986) reveals the gloss "to cause to [ROOT]" for forms with *ho*'o- (and its phonologically conditioned allomoprhs) 145 times; these occur as *ho*'o--ROOT or *ho*'o--*ha*'a- or [other causative alternant]-ROOT. Only one instance of the gloss "to cause to" remains in the dictionary, for the word *ho*'i, where it is glossed as "To leave, go or come back; to cause to come back." Hawkins (1979: 25) specifically refers to this use of *ho*'i in her discussion of *ho*'o- as one (possibly the only) intradirective verb without a *ho*'o- form. She speculates that the phonological similarity between *ho*'o- and *ho*'i might be the cause of this gap.

By comparison, there are no instances of this gloss for forms with *ha'a-* or any of its phonologically conditioned allomorphs in Elbert & Pukui (1986). A complicating factor in the descriptive literature involves one exception to the generalization described here, which is given in Elbert & Pukui (1979)'s grammar (but not Elbert & Pukui (1986)'s dictionary) for *ha-'āpuka*, where this word is glossed as 'to cause to cheat' (Elbert & Pukui 1979: 68). However, in the dictionary (Elbert & Pukui 1986: 45), the same form is glossed as 'same as *ho'āpuka*.' Fortunately, for this entry a full example is given, which I repeat here in (94), where I include glosses and a literal translation in addition to the biblical translation given in the text. In (94), the relevant form appears in a passivized relative clause, with the sole argument (outside the relative clause) being *ka waiwai* 'the wealth.' Given that this clause is passivized, an additional argument is suppressed, meaning that, from this evidence, *ha-'āpuka* only has two arguments (i.e. if it were in active voice), and does not have CAUSER-CAUSEE argument structure.

(94) E hele li'ili'i ka waiwai i ha-'āpuka wale 'ia.
PRES become little the wealth that CAUS-swindle easily PASS 'Wealth gotten by vanity shall be diminished.'
[Lit. ≈ Wealth that is easily swindled becomes diminished.]

From this, in conjunction with corpus search on the dictionary, I conclude that only *ho*'o- forms can have CAUSER-CAUSEE argument structure. This argument-structural property of *ho*'o-, in conjunction with its productivity and transparent semantics, is predicted by a model in which *ho*'o- is an outer valency-increasing allomorph, where it functions similarly to other examples of outer-domain causatives (see e.g. Folli & Harley (2005), Pylkkänen (2008) and Harley (2017)).

At this point, the analysis developed thus far provides a formal model for the observed properties of the morphemes under discussion (both the causatives and nominalizers). The following section will present further evidence for this proposal, illustrating the interaction of  $v_{caus}$  with nominalization. The interaction of ho'o- and ha'a- with nominalizing morphology suggests the same analysis of inner and outer domain allomorphy discussed above.

# 5 Interaction of nominalizers and valency increasers

The data on nominalization, discussed in section 2, illustrates that *-na* and *'ana* can be fruitfully analyzed in terms of outer and inner attachment, such that *-na* is an inner, or root-attached, allomorph of *n*, whereas *'ana* selects a larger structure, namely vP. In this section, I argue that the Distributed Morphology approach to inner versus outer attachment offers a minimally stipulated analysis when considering the interaction of nominalizers and causatives. In particular, there is no restriction on the distribution of *ho'o-* (and its phonologically conditioned allomorphs) with respect to *-na* and *'ana*. In contrast, corpus searching shows that *ha'a-* (and its phonologically conditioned allomorphs) does not co-occur with *-na*, which is expected if both are *ha'a-* and *-na* are root-selecting morphemes.

Given the analysis developed here thus far, it would be expected that '*ana* co-occurs with both *ho*'o- and *ha*'a-, as both valency increasers occur within vP, while '*ana* selects vP. This prediction is borne out by examples such as (8), in which *ho*'o- co-occurs with '*ana*, such that the entire *ho*'o-VERB unit (along with the associated arguments) is nominalized. (95) illustrates a similar pattern of data, this time with a *ha*'a- prefixed verb.

 (95) lākou ha'a-nui 'ana ma ka mea lapuwale their CAUSE-brag NOM PREP the thing vain 'their speaking great swelling words of vanity'

*Ho*<sup>o</sup>- also co-occurs with *-na*. In (96), for example, the verb 'to pray in chant' is nominzalized with *-na*, deriving the noun 'priest.' Under this analysis, cyclic *n* attaches to the root directly in (96b), meaning that, properly speaking, the root (not verb) is nominalized. When valency is increased by the addition of *ho*<sup>o</sup>- to the nominalized form, the meaning of the nominalized form is verbalized, meaning 'to ordain a priest' (96c), suggesting that *-na* is a closer or earlier attachment as compared to *ho*<sup>o</sup>-. Within the theory pursued here, this is evidence that *-na* is an inner or root-attached morpheme, deriving a somewhat idiosyncratic meaning. For example, given the range

of meanings associated with *-na* nominalized forms, it seems possible, though counter-to-fact, that (96b) could have meanings such as 'prayer' or '(lay) worshipper' – the actual interpretation further illustrates the unpredictable nature of *-na* affixed forms. Example (97) illustrates the same pattern of data; according to Elbert & Pukui (1986), (97c), but not (97a) can have the meaning 'to know,' which references the meaning of the *-na* derived nominal.

- (96) a. kahu 'to pray in chant'
  - b. kahu-na 'priest'
  - c. hoʻo-kahu-na ʻto ordain a kahuna'
- (97) a. 'ike 'to see'
  - b. 'ike-na 'view, seeing, knowing'
  - c. ho-'ike-na 'to see, know'

Corpus searching on Elbert & Pukui (1986) reveals an interesting gap, namely that *-na* nominalized forms do not co-occur with ha'a- (or any of its phonologically conditioned allomorphs). In order to search the corpus, regular expression searching was used to find all forms that meet the following two conditions: i) beginning with ha'a- or any of its phonologically conditioned allomorphs, and ii) also ending with *-na*, searching the entire (text normalized) dictionary, including headwords and all examples. Because this search included all words beginning with the common sequence ha, one of the allomorphs of ha'a-, 117 results were found (including headwords and any duplicates in examples). However, cross-checking the results with the dictionary revealed that none of the results include the relevant morphemes (i.e. the results included forms beginning with the sequence ha, though none of these sequences were ha'a- allomorphs).

For example, the only result of the corpus search beginning with ha'a- and ending with na is ha'awina ('lesson, assignment, task, gift'), which is treated as monomorphemic in the dictionary (both in the entry for the lemma and in the absence of any root *wina* or *wi*). As another example, the search also found *hanaina* ('feeding'), which is indeed a nominalization with *-na*, but with the monomorphemic root *hānai* ('to feed; to foster').<sup>13</sup>

At the same time, the gap in the corpus cannot be explained by a general rule forbidding the co-occurrence of valency-related suffixes with the prefix ha'a-. Hawaiian data with the passive suffix illustrate that ha'a- as well as ho'o- can co-occur with the passive affix. The passive in Hawaiian is complicated, as the language has both a passive affix and, separately, a more common free particle passive, sometimes both appearing in the same sentence.<sup>14</sup> Here, I only consider the

<sup>&</sup>lt;sup>13</sup> Note that, as discussed in connection with (4), the vowel length change for this example is typically of nominalizations with *-na*.

<sup>&</sup>lt;sup>14</sup> See e.g. Chung & Seiter (1980) for discussion of the passive in Polynesian and its potential implication for the case marking split within Polynesian.

suffix passive, -*Cia*. Elbert & Pukui (1979) label this suffix as 'passive/imperative;' while I only consider passive uses here, I retain their original gloss in the following examples.

To illustrate this affix, consider (98), in which the root takes the inner causative allomorph (98b) and then both the inner causative prefix and the passive suffix in (98c), the latter of which is illustrated in a sentence by (98d). A passive form built from *wa*'*a* can also take the outer causative *ho*'*o*- as in (98e), where the alternate passive suffix -*lia* is present, in which the meaning of the base is visible as compared with the inner causative allomorph form in (98b).

- (98) a. wa'a 'canoe, trench'
  - b. 'ā-wa'a 'long, narrow excavation; to dig a ditch or furrow'
  - c. 'ā-wa'a-hia (pas/imp. of āwa'a)
  - d. Papaioa 'ā-wa'a-hia ka lani
    long.reef CAUS-trench-PASS the high.chief
    'the high chief is a furrowed reef' (chant)
  - e. ho'o-wa'a-lia 'to be dug out'

At the same time, a similar data set appears in (99), with the key difference being that ho'o-, which I have argued to be the outer causative allomorph, co-occurs with the passive suffix (-*Cia*). Along similar lines, the base *lele* (discussed above in connection with (48)) can take the inner causative allomorph ha'a- in conjunction with the passive suffix, as in (100).

- (99) a. ala 'path; (intransitive) to waken, stay awake; (intransitive) to arise, get up'
  - b. ala-hia (pas/imp. of ala)
  - c. ho'-āla-hia 'to awaken; wakefulness; insomnia'
  - d. I ka hiki hoʻ-āla-hia nei lā, ē ala mai ʻoe at the coming CAUS-awaken-PASS DIR time, IMP waken DIR you 'the time to be awakened comes, awake' (prayer)
- (100) a. lele (intransitive verb) 'to fly, jump, to rush out, as to attack; to disembark ...'
  - b. ha'a-lele-a (pas/imp. of ha'alelea)

The examples with the passive suffix illustrate that both ho'o- and the forms that I have argued to be inner  $v_{caus}$  forms (' $\bar{a}$ -, ha'a-) co-occur with the passive suffix -*Cia*, unlike the apparent restriction on inner  $v_{caus}$  forms with the inner *n* allomorph -*na*; in other words, there is no general prohibition on the co-occurrence of a valency-related suffix (such as the passive) with either causative prefix. Within the analysis developed here, this makes sense given that the passive selects *vP*, and not a root directly. While there is no restriction on multiple 'outer' affixation, yielding forms with the structure ho'o-ROOT-*Cia*, the passive does not compete for root attachment with the inner allomorph of  $v_{caus}$  either, allowing forms such as ha'a-ROOT-*Cia* as well. In the context of this data, it is striking that the dictionary reveals an absence of *-na* nominalized forms that also include ha'a- (and its phonologically conditioned allomorphs), given the prevalence of *-na* nominalizations involving ho'o- (and its phonologically conditioned allomorphs). This pattern of data, however, has a ready explanation given the analysis thus far. Specifically, both *-na* and ha'a- are root-selecting allomorphs of their respective underlying morphemes (nominalization and valency increase, respectively). Since there is only one root-selecting position within the Distributed Morphology framework adopted here, this corpus gap is the predicted state of affairs, insofar as only one of ha'a- are separate morphemes, these corpus results must be stipulated.

# 6 Conclusion

In this paper, I have pursued two parallel goals. One of these goals involves the morphological analysis of two valency related domains in Hawaiian. With respect to the causative, I argued that a set of surface forms, including ho'o-, ha'a-, ' $\bar{a}$ -, and  $k\bar{a}$ -, are allomorphs of one underlying morpheme. This analysis, if on track, represents a new understanding of this data set, insofar as the primary prior analysis, Elbert & Pukui (1979), presents ho'o- as being a distinct morpheme. Likewise, I argued that the nominalizers 'ana, a free particle, and the suffix -na are also allomorphs of one underlying morpheme.

In both domains, the crucial difference between the surface forms is related to whether or not the underlying morpheme is local to the root, also known as the inner or root-selecting domain. Both nominalizer *-na* and the set of causatives *ha'a-*, *'ā-*, and *kā-* have similar properties, such as yielding potentially idiosyncratic interpretations and being root-adjacent. The forms that realize the outer, non-local position in the word structure also share properties; the causative prefix *ho'o-* and nominalizer *'ana* are semantically transparent and more productive.

The second goal of this paper is to pursue a morpho-syntactic analysis of these data, according to which word formation is understood in terms of syntactic principles. Within the Distributed Morphology framework, this approach entails that word formation is part of the syntax, and not understood as a process that happens in a separate structure-building component of the lexicon (see e.g. (Alexiadou 2009; Arad 2003; Harley 2008; Pylkkänen 2008), among others). Evidence for this approach includes corpus results showing an absence of forms that include both the inner nominalizing allomorph (the suffix *-na*) and the inner causative allomorph (the prefix ha'a-). Under the approach adopted here, the gap in the corpus is expected, and follows from general principles of morpho-syntactic structure, insofar as only one allomorph can select the root.

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# **Competing interests**

The author has no competing interests to declare.

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